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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

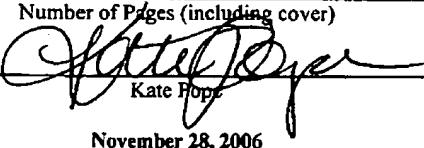
In re United States Patent Application of:	}	Docket No.:	4197-114
	}		
	}		
Applicants:	BAUER, Et al.	Conf. No.:	8808
Application No.:	10/088,751	Art Unit:	1734
Date Filed:	July 19, 2002	Examiner:	Mark A. Osele
Title:	PROCESS FOR FORMING CELLULOSIC SHAPED BODIES	Customer No.:	23448

FACSIMILE TRANSMISSION CERTIFICATEATTN: Examiner Mark A. OseleFax No. (571) 273-8300

I hereby certify that this document, along with any enclosures identified herein, is being filed in the United States Patent and Trademark Office, via facsimile transmission to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date specified below, to United States Patent and Trademark Office facsimile transmission number (571) 273-8300.

8

Number of Pages (including cover)

  
Kate Pope

November 28, 2006

Date

REQUEST FOR INITIALED PTO-1449 FORM FROM INFORMATION DISCLOSURE  
STATEMENT FILED MAY 24, 2002 IN U.S. PATENT APPLICATION NO. 10/088,751

Mail Stop Issue Fee  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

Sir:

A review of the file of this application subsequent to the issue of September 28, 2006 Notice of Allowance has revealed that the PTO-1449 Form accompanying the Information Disclosure Statement filed on May 21, 2002 had not been initialed by the Examiner.

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For the sake of good order, the Examiner is requested to furnish such initialed form. Enclosed in Appendix A hereof is a copy of the Information Disclosure Statement mailed to the U.S. Patent and Trademark Office on May 21, 2002, including such PTO-1449 Form listing 14 references thereon.

Enclosed in Appendix B hereof is a copy of the return postcard accompanying such Information Disclosure Statement, as date-stamped by the USPTO on May 24, 2002 evidencing receipt in the USPTO on such date of the Information Disclosure Statement and Form PTO-1449.

It therefore is requested that the PTO-1449 Form be initialed by the Examiner reflecting consideration of such references so that the Issue Fee can be paid consistent with the allowance of the application.

Respectfully submitted,



---

Steven J. Hultquist  
Reg. No. 28,021  
Attorney for Applicants

INTELLECTUAL PROPERTY/  
TECHNOLOGY LAW  
Phone: (919) 419-9350  
Fax: (919) 419-9354  
Attorney File No.: 4197-114

**Enclosures:**

- Copy of IDS and PTO-1449 Form [3 pgs.]**  
**Copy of Return Postcard [1 pg.]**

The USPTO is hereby authorized to charge any deficiency or credit any overpayment of fees properly payable for this document to Deposit Account No. 083284

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## APPENDIX A

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 28 2006

<b>In re United States Patent Application of:</b>	)	Docket No.: 4197-114
<b>Applicant:</b>	)	<b>Examiner:</b> Not Yet Assigned
<b>Application No.:</b>	)	<b>Art Unit:</b> Not Yet Assigned
<b>Date Filed:</b>	)	
<b>Title:</b>	)	
<b>METHOD FOR PRODUCING CELLULOSE SHAPED- BODIES</b>	)	

23448  
PATENT & TRADEMARK OFFICE

FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, and First Class Mailed under the provisions of 37 CFR 1.8.

Katrina Holland

Katrina Holland

May 21, 2002

Date of Mailing

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

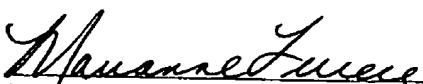
Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

- a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- c. Attached is our check no. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. \_\_\_\_\_ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. \_\_\_\_\_ in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. \_\_\_\_\_ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

Respectfully submitted,

  
\_\_\_\_\_  
Marianne Fuierer  
Reg. No. 39,983  
Attorney for Applicant

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Attorney Ref: 4197-114

Sheet 1 of 1

FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary)	US Dept. of Commerce Patent and Trademark Office	ATTORNEY DOCKET NO. 4197-114	SERIAL NO. 10/088,751
	APPLICANT Ralf-Uwe Bauer, et al.		
	FILING DATE March 20, 2002	GROUP Not Yet Assigned	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A1	EP 0 047 929	04/09/1981	Germany	01		X
	A2	DE 3034685 A1	08/04/1982	Germany	08		X
	A3	DE 43 08 524 C1	22/09/1994	Germany	01		X
	A4	EP 0 727 439 A1	21/08/1996	Europe	08		X
	A5	WO 97/23666	03/07/1997	WIPO (Austria)	01		X
	A6	WO 97/31970	04/09/1997	WIPO (Germany)	08		X
	A7	WO 97/47790	18/12/1997	WIPO (Korea)	01		X
	A8	WO 98/05702	12/02/1998	WIPO (Austria)	08		X
	A9	WO 98/58103	23/12/1998	WIPO (Austria)	01		X

OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)		
A10		XP-002161407 p.168-169
A11		H. Firgo, et al., Kritische Fragen Zur Zukunft Der NMMO-Technologie, Lenzinger Berichte p. 81-89 (1994)
A12		1996 Annual Review, Domain Industries, Inc., p.1-4 & 20-21
A13		D. Klemm, et al., Comprehensive Cellulose Chemistry, Fundamentals and Analytical Methods, Vol. 1, Wiley-VCH, p. 12-13 (1998)
A14		Dr. Herbert Sixta, et al., New Technology for the Production of High-Purity Dissolving Pulps, Wissenschaft + Technik, p. 220-234 (2001)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

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## APPENDIX B

✓ FBI - 7/2002

**This confirms receipt of:**

**Information Disclosure Statement and Form PTO-1449**

**In re U.S. Patent of:** Ralf-Uwe Bauer, et al.  
**Patent Appl. No.:** 10/088,751  
**Filing Date:** March 20, 2002  
**Title:** METHOD FOR PRODUCING CELLULOSE SHAPED-BODIES  
**Date Mailed:** May 21, 2002  
**Express Mail Label:** None (First Class Mail)  
**Attorney Ref.:** 4197-114



JCA4 Rec'd PCT/PTO 24 MAY 2002